

## ALERTA Nº 06/2019

PROGRAMME	HORIZON 2020 (2014-2020)
CALL	SME Instrument
FUNDING BODY	European Commission. Implemented centrally by EASME agency
CALL FOCUS	The SME instrument is targeted at all types of innovative SMEs showing a strong ambition to develop, grow and internationalise. It provides staged support covering the whole innovation cycle.
ELIGIBLE INSTITUTIONS	For-Profit SMEs for any sector, established in an EU Member States or a H2020 Associated Country.
INSTRUMENT DESCRIPTION	<p><b>Scope:</b> The SME instrument consists of <u>three phases</u>, including a coaching and mentoring service for beneficiaries. Participants can apply to phase 1 or directly to phase 2. <b>There are no set topics.</b></p> <ul style="list-style-type: none"> <li>• <b>Phase 1. Feasibility study</b> verifying the technological/practical as well as economic viability of an innovation idea/concept with considerable novelty to the industry sector in which it is presented (new products, processes, design, services and technologies or new market applications of existing technologies). The activities could, for example, comprise risk assessment, market study, user involvement, intellectual property management, innovation strategy development, partner search, feasibility of concept, and the like, to establish a solid high-potential innovation project aligned to the enterprise strategy and with a European dimension. Bottlenecks in the ability to increase profitability of the enterprise through innovation shall be detected and analysed during phase 1 and addressed during phase 2, to increase the return in investment in innovation activities.</li> <li>• <b>Phase 2. From concept to market.</b> Innovation projects that demonstrate high potential in terms of company competitiveness and growth underpinned by a strategic business plan. Activities should focus on innovation activities such as demonstration, testing, prototyping, piloting, scaling-up, miniaturisation, design, market replication and the like aiming to bring an innovation idea (product, process, service etc.) to industrial readiness and maturity for market introduction, but may also include some research. For technological innovation, <u>Technology Readiness Levels of 6 or above</u> (or similar for non-technological innovations) <u>are envisaged</u>.</li> </ul> <p>Proposals shall be based on an elaborate business plan. Particular attention must be paid to IP protection and ownership; applicants will have to present convincing measures to ensure the possibility of commercial exploitation ('freedom to operate').</p> <p>Proposals shall contain a specification for the outcome of the project and criteria for success. They will include an explanation of how the results of the</p>

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	<p>supported project are to be commercialised and of what kind of impact on the company is expected.</p> <ul style="list-style-type: none"> <li> <b>Phase 3. Commercialisation.</b> It aims at increase the economic impact of the funding provided by the SME Instrument phase 1&amp;2 grants and by the business coaching. Phase 3 is not subsequent to phase 1 and/or 2, but provides specific support to SME instrument beneficiaries during and after phase 1 or 2.         </li> </ul> <p>All support under phase 3 of the SME instrument will be accessible through a single, dedicated entry point, which will serve as an information portal and a networking space.</p> <p>This platform will offer access to two main strands of services:</p> <ul style="list-style-type: none"> <li>- Access to markets</li> <li>- Access to finance</li> </ul> <p>In addition, phase 3 will create opportunities for partnering, networking and training, which are the Dedicated Support Actions:</p> <ol style="list-style-type: none"> <li>1. SME Instrument Business Community - Interactive Platform (information portal and networking space)</li> <li>2. SME Instrument Business Community Activities</li> <li>3. SME Instrument Academy</li> <li>4. Access to New Geographical Markets – Overseas Trade Fair Participation Programme</li> </ol> <p><b>Coaching and mentoring.</b> SME instrument beneficiaries are also offered dedicated business innovation coaching and mentoring support. This service is facilitated by the Enterprise Europe Network and delivered by a dedicated coach through consultation and signposting to the beneficiaries. The coaches are recruited from a central database managed by the Commission and have all fulfilled stringent criteria with regards to business experience and competencies.</p> <p>Throughout the three phases of the instrument, the Network will complement the coaching support by providing access to its innovation and internationalisation service offering. This could include, for example, depending on the need of the SME, support in identifying growth potential, developing a growth plan and maximising it through internationalisation; strengthening the leadership and management skills of individuals in the senior management team and developing in-house coaching capacity; developing a marketing strategy or raising external finance.</p> <p><b>Expected Impact:</b></p> <ul style="list-style-type: none"> <li>• Enhancing profitability and growth performance of SMEs by combining and transferring new and existing knowledge into innovative, disruptive and competitive solutions seizing European and global business opportunities.</li> <li>• Market uptake and distribution of innovations tackling the specific challenges in a sustainable way.</li> <li>• Increase of private investment in innovation, notably leverage of private co-investor and/or follow-up investments.</li> <li>• The expected impacts should be clearly described in qualitative and quantitative terms (e.g. on turnover, employment, market seize, IP management, sales, return on investment and profit).</li> </ul>

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<b>INSTRUMENT FUNDING LEVEL</b>	<ul style="list-style-type: none"> <li>• <b>Phase 1:</b> will be provided in the form of a <u>lump sum of EUR 50.000</u>. Projects should <u>last around 6 months</u>.</li> <li>• <b>Phase 2:</b> The Commission considers that proposals <u>requesting a contribution from the EU of between EUR 0.5 and 2.5 million</u> (70% funding of the eligible costs) would allow phase 2 to be addressed appropriately. Nonetheless, this does not preclude submission and selection of proposals requesting other amounts. Projects should <u>last between 12 and 24 months</u>.</li> <li>• <b>Phase 3:</b> No direct funding from the European Commission.</li> </ul>																				
<b>ELIGIBLE COSTS</b>	<ul style="list-style-type: none"> <li>• Personnel cost.</li> <li>• Other direct cost: travel and subsistence; equipment, informatics, consumables.</li> <li>• Subcontracting.</li> <li>• Indirect cost (25% total direct cost, except subcontracting).</li> </ul>																				
<b>REMARKS</b>	<ul style="list-style-type: none"> <li>• Minimum consortium: one eligible SME (established in EU or associated country)</li> <li>• One application/project (phase 1 or phase2) at a time</li> <li>• No obligation for applicants to sequentially cover all three phases; each phase opens to all SMEs</li> <li>• Information on the <b>outcome of the evaluation:</b> Maximum 2 months after the corresponding cut-off date for phase 1, and maximum 4 months after the corresponding cut-off date for phase 2.</li> <li>• Indicative date for the <b>signing of grant agreements:</b> Maximum 3 months from the final date for submission in phase 1, and maximum 6 months from the final date for submission in phase 2.</li> <li>• In the case of two or more SMEs submitting a proposal, members of the consortium are required to conclude a consortium agreement in principle prior to the signature of the grant agreement.</li> <li>• <b>Technology Readiness Levels (TRL):</b> the following definitions apply, unless otherwise specified: <table border="1" data-bbox="529 1424 1385 1895"> <thead> <tr> <th data-bbox="529 1424 603 1458">TRL</th> <th data-bbox="603 1424 1385 1458">DEFINITION</th> </tr> </thead> <tbody> <tr> <td data-bbox="529 1458 603 1491">1</td> <td data-bbox="603 1458 1385 1491">Basic principles observed</td> </tr> <tr> <td data-bbox="529 1491 603 1525">2</td> <td data-bbox="603 1491 1385 1525">Technology concept formulated</td> </tr> <tr> <td data-bbox="529 1525 603 1559">3</td> <td data-bbox="603 1525 1385 1559">Experimental proof of concept</td> </tr> <tr> <td data-bbox="529 1559 603 1592">4</td> <td data-bbox="603 1559 1385 1592">Technology validated in lab</td> </tr> <tr> <td data-bbox="529 1592 603 1659">5</td> <td data-bbox="603 1592 1385 1659">Technology validated in relevant environment (<i>industrially relevant environment in the case of key enabling technologies</i>)</td> </tr> <tr> <td data-bbox="529 1659 603 1727">6</td> <td data-bbox="603 1659 1385 1727">Technology demonstrated in relevant environment (<i>industrially relevant environment in the case of key enabling technologies</i>)</td> </tr> <tr> <td data-bbox="529 1727 603 1760">7</td> <td data-bbox="603 1727 1385 1760">System prototype demonstration in operational environment</td> </tr> <tr> <td data-bbox="529 1760 603 1794">8</td> <td data-bbox="603 1760 1385 1794">System complete and qualified</td> </tr> <tr> <td data-bbox="529 1794 603 1895">9</td> <td data-bbox="603 1794 1385 1895">Actual system proven in operational environment (<i>competitive manufacturing in the case of key enabling technologies; or in space</i>)</td> </tr> </tbody> </table> </li> </ul>	TRL	DEFINITION	1	Basic principles observed	2	Technology concept formulated	3	Experimental proof of concept	4	Technology validated in lab	5	Technology validated in relevant environment ( <i>industrially relevant environment in the case of key enabling technologies</i> )	6	Technology demonstrated in relevant environment ( <i>industrially relevant environment in the case of key enabling technologies</i> )	7	System prototype demonstration in operational environment	8	System complete and qualified	9	Actual system proven in operational environment ( <i>competitive manufacturing in the case of key enabling technologies; or in space</i> )
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<b>INDICATIVE BUDGET</b>	<ul style="list-style-type: none"> <li>• Total budget € million per 2019: <b>552.26</b></li> </ul>																				

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DEADLINES		Phase 1	Phase 2
	2019	07/05	03/04
		05/09	05/06
		06/11	09/10
(*) All cut-off dates are at <u>17.00 h</u> Brussels local time.			
MORE INFORMATION	<a href="#">Participant Portal</a>		
DISTRIBUCIÓN	<ul style="list-style-type: none"> <li>Socios numerarios de la Asociación IBV y socios corporativos de la Asociación CVIDA</li> <li>Actualizado 26/03/2019</li> </ul>		

El Instituto de Biomecánica (IBV) pone a disposición de los socios un servicio de alertas de ayudas y subvenciones públicas de apoyo a la I+D.

El objetivo de este servicio es informar a las empresas, a través del envío de fichas-resumen, de las ayudas y subvenciones convocadas por la Administración Autonómica Valenciana, por la Administración Central y por la Unión Europea, con la intención de identificar posibles vías de financiación de sus proyectos empresariales.

Estas fichas contienen información sobre destinatarios, condiciones y plazos para concurrir a las convocatorias y son enviadas a las socios, de forma temprana, una vez salen publicadas en los boletines oficiales correspondientes.